



Governance in Uncertain Times

2012 IIA India Conference
Leela Kempinski Gurgaon
9th & 10th February 2012

WELCOME

The Institute of Internal Auditors, India is delighted to organise the 2012 IIA India Conference on 9th and 10th of February 2012 at Hotel Leela Kempinski, Gurgaon.

IIA India is emerging as the leading voice for the internal audit profession in India. In fact, the year 2012 is a milestone for IIA India. More than 120 representatives from over 50 countries will convene at the Lalit Hotel in New Delhi from February 5-8, 2012 for The IIA's annual Global Council. Coordinated by IIA Global Headquarters and hosted by us, Global Council 2012 brings together top leaders of The IIA from around the world to discuss key matters impacting the global profession of internal auditing. IIA India is organising this conference immediately after this event, which will be addressed by various leaders from the IIA Global including Chairman of the IIA Board, Mr. Denny Beran, Sr. Vice Chairman Philip D Tarling and President and CEO Richard Chambers.

The theme of 2012 IIA India Conference "Governance in Uncertain Times" will help delegates to understand the challenges ahead as well as whether there are opportunities for us as a Profession to help organizations to achieve their desired goals during these tough times.

Further this conference will provide the stage for the profession to tackle internal audit challenges, bringing together thought leaders from Asia, Europe, North America and Australia to share knowledge and ideas. Delegates will be able to gain insights on wider business issues impacting the profession as well as develop technical and practical skills around internal audit methodologies. In addition to the technical program the conference will provide many opportunities for networking so delegates can expand their knowledge by exchanging ideas with colleagues from India and abroad.

We anticipate over 200 professionals will attend the conference, including chief audit executives, IT audit directors, audit committee members, internal audit managers, internal audit technical staff and consultants working across many industry sectors.

The 2012 IIA India Conference provides an excellent opportunity to not only the delegates for engaging in thought leadership discussions but also to the various service providers including independent consultants, assurance services firms, consulting companies and software/solution providers to interact with various decision makers and demonstrate their capabilities.

Yours Sincerely



Anil Bhandari
President - IIA India
Conference Chair



PVD Prasad
President- IIA India, Delhi Chapter
Conference Co-Chair

ACKNOWLEDGMENTS

Satish Shenoy
President - IIA India, Mumbai Chapter

Raghavendra Naik
President - IIA India, Bangalore Chap.

Naren Aneja
Past President - IIA India

C S Subrahmanyam
President - IIA India, Hyderabad Chap.

Manoj Agarwal
President - IIA India, Calcutta Chapter

S Rangarajan
President - IIA India, Chennai Chapter

NG Shankar
Council Member - IIA India
Member IASB, IIA Global

EMINENT SPEAKERS



Denny Beran

Chairman of the board
The Institute of Internal Auditors

He oversees auditing for all JC Penney operations. Denny is a long-time member of The Institute of Internal Auditors.

In 1999, he received one of The Institute of Internal Auditors' most prestigious awards, the Victor Z. Brink Award for Distinguished Services.

Over the years, Denny has served in many positions with The IIA. He recently led The IIA's efforts to develop a formal value proposition articulating internal auditing's value to its stakeholders.

He is currently the 2011-2012 Global IIA Chairman of the Board.



Richard F. Chambers

President & CEO
The Institute of Internal Auditors

In his role at the helm of the internal audit profession's global authority, standard-setter, and educating body, Richard F. Chambers is responsible for driving the profession and the many undertakings of The Institute of Internal Auditors (IIA). Working from IIA Global Headquarters in Altamonte Springs, Fla., USA, Chambers advocates for IIA members in 165 countries.

Chambers actively heads The IIA Global Headquarters' leadership team in developing the global business infrastructure and framework that supports The IIA's chapters and institutes around the world and advances the organization's strategic priorities.



Phil Tarling

Vice President, Internal Audit
Huawei Technologies

As vice president of the Internal Audit Centre of Excellence for Huawei Technologies Ltd., a global telecommunications company based in China and the U.K., Phil Tarling is responsible for building enhanced capacity of global internal audit services. Tarling has more than 25 years of internal audit, financial, and budgetary experience in the commercial and public sectors, including two decades as a head of internal audit.

He has travelled the U.K. and central and eastern Europe since 1998 providing consulting and training as both an in-house and externally sourced expert. He currently serves as Senior Vice Chairman of the global Board of Directors for The Institute of Internal Auditors (IIA).



Ajit Ranade

Chief Economist
Aditya Birla Group

An Alumnus of IIT, Mumbai and IIM – Ahmedabad, Dr. Ranade is the Chief Economist at the Aditya Birla Group, a multinational conglomerate. His professional career has spanned academic and corporate assignments, in India as well as in the US, and he has also been the Chief Economist at ABN Amro Bank. He is a member of the Board of India Today Economists, chairs the CII West Zone Sub Committee on Economic Affairs and the Research Advisory Panel of the Indian Institute of Banking and Finance. He has also been a Chairman of IITBAA. Dr Ranade held a faculty position at the Indira Gandhi Institute of Development Research, the Indian Council of Research on International Economic Relations and JNU, New Delhi.



Nawshir Mirza

Professional Independent Director

Nawshir is a Fellow of the Institute of Chartered Accountants of India, qualifying in 1973. Most of his career was with S.R.Batliboi & Co./Ernst & Young. He joined them in 1967 as a trainee and was with them till his retirement in April 2003.

During his career in the firm Nawshir held various positions. He was in the Calcutta office till 1988, when he moved to the Mumbai office. He also worked in the London office.

Nawshir has contributed to the accounting profession, being a speaker or the chair at conferences in India & abroad and authoring a number of professional publications. He has chaired and served on the boards of various professional bodies and chambers of commerce.



Abdulqader Obaid Ali

Chief Internal Audit
Dubai World

Abdulqader Obaid Ali is the Chief of Internal Audit for Dubai World, the holding company that manages a number of world-class companies such as DP World, Economic Zones World (EZW), Dry Docks World, Istithmar, etc. In this role, he reports directly to the Audit Committee of Dubai World on Audit & Fraud related issues covering the DW Group.

Prior to joining Dubai World, Abdulqader worked at Dubai Aluminum Company for 20 years holding various positions. He has a cumulative work experience of 24+ years in the fields of IT, Quality, HR and Internal Audit

EMINENT SPEAKERS



Shamini Ramalingam

Group Director - Internal Assurance
Bharti

Shamini is the Group Director-Internal Assurance at Bharti and has been with Bharti since December 2007. She is responsible for all Internal Assurance activities of Bharti Airtel in India, Bangladesh, Sri Lanka and Africa and Bharti Infratel. In this capacity, she is responsible for reviewing all the operations of the company to evaluate Risks, Internal Controls and Governance processes. As the head of Internal Assurance (IA), Shamini oversees the areas related to prevention, detection and investigation of frauds for all Bharti companies.

Prior to Bharti, Shamini has worked with Telstra Corporation in Australia in various capacities including Internal Assurance and Risk Management.



N G Shankar

Head - Corporate Audit Function
Aditya Birla Group

Mr N G Shankar graduated in Economics and qualified as a Chartered Accountant and is also a CIA and CISA. He joined the Aditya Birla Group in 2001 as Head of the Corporate Audit function and has the responsibility for the internal audit activity for businesses of the Group as diverse as Aluminium, Carbon Black, Mining to BPO, Telecom and Retail. The Aditya Birla Group is a 35 Billion US Dollar conglomerate with operations in 33 countries powered by more than 133000 employees belonging to 42 nationalities.

Out of a overall work experience of about 30 years in Mumbai and Delhi, Mr Shankar has also had exposure to accounting, treasury and Corporate Finance functions primarily in Chemicals and Pharma sectors.



Sanjay Mathur

Vice President & Head Management
Assurance - Tata Communications

Sanjay has more than 20 years of rich experience in the fields of Risk Management, Accounting, Controllership, Business integration and ERP implementation. Over last ten years he has been focusing on the Risk Management & Corporate Governance for manufacturing as well as service industries. Sanjay has extensive experience and specializes in developing & implementing integrated Risk Management framework, which includes synergizing Enterprise Risk Management, Risk Based Internal Audit, Sarbanes – Oxley Compliance, Revenue Assurance and Fraud Management.



Nagesh Pinge

Chief Internal Audit
Tata Motors Ltd.

CA. Nagesh Pinge is a Chartered Accountant and a Law Graduate from India.

CA. Pinge is the Chief Internal Auditor of Tata Motors Limited. Tata Motors Limited is India's largest automobile company.

In a career spanning 29 years, Mr Pinge has worked with many Organizations of repute such as ICICI Bank, Reliance Industries Ltd, Deutsche Bank of Germany and JSW Group.

Mr. Pinge's major tenure has been with ICICI Bank for 14 years where he was managing a team of 100-plus internal auditors located worldwide. He was also the Chief Risk Officer of ICICI Bank.



Naren Aneja

Managing Partner
Aneja & Associates

Narendra K Aneja is the Chief Executive of Aneja Associates, India. He is a Chartered Accountant, Certified Management Consultant and holds an MBA from the Wharton Business School. He is a Gold Medalist (ICWA), a Tata Scholar and was ranked on the Director's List at Wharton School (1978). He is a past national President of The Institute of Internal Auditors of India.

Naren, as he is fondly called, has made presentations at many international conferences in India, United States, Malaysia, Dubai, Sri Lanka and Qatar. He was previously attached to Coopers and Lybrand National Office in New York.

Naren has over 30 years of experience in internal auditing, risk management and management consultancy.



Rohit Mahajan

Partner & Co Head Forensic Services
KPMG

IRohit co-heads KPMG India's Forensic practice, besides leading the investigations and Anti Bribery and Corruption service line within the practice.

He is a Chartered Accountant with over 15 years of experience in handling projects in the areas of Fraud Investigations, Anti Bribery and Corruption diligence and compliance reviews, Financial Due Diligence and Valuation, Business Fraud Risk Review and Business Process Risk Consulting. His exposure spans all major industries with specific focus on Infrastructure, Pharmaceuticals, and Financial Services.

EMINENT SPEAKERS



Neville Dumasia

National Leader-Risk Advisory Service
Ernst & Young

Neville is the National Leader Risk Advisory Services in India. With over 21 years of experience in the profession, Neville has actively worked with and assisted clients in their journey towards better corporate governance, specifically in areas which require the attention of the Board and senior members of management in managing risk, suggesting suitable control environments, assisting teams to understand, implement and accept improved/re-engineered processes and managing regulatory compliance. By virtue of his strong technical skill sets, Neville has had the opportunity to work also on due diligence assignments and several transformational projects. He has worked across a multitude of industries ranging from telecommunications to the traditional manufacturing sector and more recently in the financial services.



Shaurav Sen

Managing Director
Corporate Executive Board of India

Prior to joining CEB, Shaurav was with Hindustan Unilever Limited (Unilever India) as part of their Corporate Strategy and Business Research team where he served for almost 4 years working out of their Mumbai and Bangalore operations in India. Before joining Unilever, he founded OnScreen Interactive, a start up Internet Advertising and Employee Communications platform based in Arlington, Virginia, funded by Draper Atlantic targeting the online advertising space and B2B segment. He has also held management consulting positions with leading research & advisory firms including The PA Consulting Group based in the UK, and Hagler Bailly and Apogee Research in the United States. Shaurav is currently a member of the Board of Directors of Corporate Executive Board India Private Ltd.



Chetan Maheshwari

Director
Auditime Information Systems

Chetan Maheshwari is Promoter Director of M/s Auditime Information Systems (I) Ltd which has been in the space of GRC for over 11 years now. Chetan is a CA (a merit holder), CISA and CRISC and brings experience of having implemented various technology solutions for Audit departments covering different industry verticals. The work done by Auditime for their customers have been recognized on global platforms as the best implementations for last 5 years in a row now. The recent one being the best implementation worldwide for Essar and raising the bar of Audit in the world map. Auditime is partner to ACL and Pentana who are industry leaders in the area of Audit automation and Auditime bring rich experience in implementing and delivering tremendous value by enhancing the overall confidence level and efficiency of the audit department.



Rajani Kesari

CFO
Schneider Electric India

Rajani is a Chartered Accountant, Cost Accountant & CPA. She is leading the finance function with a team of over 600 professional for Schneider Electric India as CFO and has also been involved in various strategic initiatives such as M&As and consolidations at Schneider, which today is one of the fastest growing brands in India. Prior to her joining Schneider Rajani was the CFO for the European operations of Dr. Reddys, which involved managing acquired companies as well as various growth initiatives.

Rajani has also been in the governance function and has headed the management audit function at Dr. Reddys where she was responsible in institutionalizing the audit function in line with the best in the world.



Harish Dua

Executive Director
Internal Audit

Mr. Dua is a Finance and Business Professional with 28 years of work experience. He is a Chartered Accountant from England where he spent 10 years completing his higher education and started his journey in the audit profession. He is also a Certified Public Accountant from USA where he spent another 10 years working with PriceWaterhouseCoopers and Pitney Bowes, Inc. He completed his MBA from the University of Connecticut while he was working there. He returned to India 15 years ago and joined Pepsi Foods, first in their planning department and then headed the finance department of their non-cola division. Thereafter he moved to Bharti Airtel Ltd (India's largest private telecom operator) and spent four years to establish and develop their Corporate Audit Group. He has also spent brief periods with SRF Ltd and heading his own consulting firm.



Debmalya Maitra

Head - Audit, Risk & Compliance
AEGON Religare Life Insurance

Prior to joining AEGON Religare Life Insurance Company Limited, Mr. Maitra was with HDFC Standard Life Insurance Company Limited as Head - Audit & Risk Management. Mr. Maitra has over 22 years of rich experience in the area of Audit and Risk in financial services companies, banking and insurance. Mr. Maitra has worked with firms such as Lovelock and Lewes, SBI Home Finance and Centurion Bank of Punjab during the course of his career.

Mr. Maitra holds a Bachelors Degree in commerce and is a Chartered Accountant.

EMINENT SPEAKERS



P.R. LOGANATHAN
Managing Director
CISMA Consultants

Mr Paliam R Loganathan has over 30 years of consulting, training and senior management experience in large Indian and multinational corporations. He has worked extensively in the area of Corporate Risk management, Corporate Governance, Management Auditing, Operational Auditing and Information Systems Security Control and Audit. He has designed and installed modern in-house audit systems for large corporate houses including developing audit methodologies and benchmarking for Operational and IS Audits.

Mr. Loganathan is presently the Managing Director of CISMA Consultants Private Limited a wholly owned subsidiary of Verikwest Systems Inc. USA,



Rajeev Batra
Partner & Co-Head Governance Risk & Compliance Services

A Chartered Accountant by profession, Raajeev's total experience spans over 23 years across professional service firms and industry. He has been in the firm for over five years and leads the KPMG's Major Projects Advisory practice in India.

Raajeev has been instrumental in conceptualizing the Major Project Advisory service offerings and is responsible for consulting with clients on major project rollouts and capital projects. His experience spans across multiple industries - mining, manufacturing, FMCG, software, beverages, pharmaceutical, automotive, retail and insurance.

Raajeev is also qualified as a Certified Information Systems Auditor from the ISACA, USA.



Santanu Ghosh
Managing Partner
M/s K.S.Aiyar & Co.

Mr Santanu Ghosh is a Commerce Graduate from Calcutta University and a Fellow member of The Institute of Chartered Accountants India. He is also a member of the Institute of Internal Auditors Inc (USA). Mr Ghosh is in public practice as Managing Partner of M/S. K.S.AIYAR & Co Chartered Accountants and is also the Chairman of its Executive Board.

He is involved in the conventional areas of practice namely Assurance Services and also deeply involved in the Consulting practice of the firm. He is a former President of:

The Institute of Internal Auditors –India
Association of Corporate Advisers & Executives, Kolkata.
IIA- Calcutta Chapter
Chartered Accountants Club Calcutta.



Mritunjay
Country Managing Director
Protiviti India

Mritunjay is the founding Country Managing Director of Protiviti India. Protiviti is the world's largest Independent risk Consulting firm specializing in Risk and Business Consulting & Internal Audit, Protiviti has 60 offices across 23 countries. In India Protiviti is amongst the fastest growing consulting organizations with a team strength of approximately 280 personnel across NCR, Mumbai and Bangalore.

A Chartered Accountant; Mritunjay started his career with Arthur Andersen and before launching Protiviti in 2006 he was a partner with a leading consulting firm in India.

Principal Partners



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CAE FORUM

Highlight of day 1 :

Day 1 Thursday 9 February (Evening)

CAE Forum	Expectations of Audit Committee
6:00 PM	Registration Desk Opens
6:00-6:30 PM	Networking
6:30-7:00 PM	Address by Richard Chambers , President & CEO, IIA Global
7:00-8:00 PM	Round Table - Audit Committee Members, Insights, Expectations etc
8:00-9:30 PM	Cocktails & Dinner

Join the IIA-India

Be part of an ever increasing influential community



The Institute of
Internal Auditors
India

CONFERENCE SCHEDULE

Day 1 Thursday 9 February

8:00 AM	Registration Desk Opens
8:00- 9:00 AM	Networking Breakfast and Exhibition Viewing
9:00-10:30 AM	Opening Session Opening Remarks Anil Bhandari President IIA, India Chairman's Address - Assessing our Relevance Denny Beran, IIA Chairman of the Board and Senior Vice President/Audit Director, JC Penney
10:30-11:30AM	Keynote 1 The new Corporate World Order: How Does Internal Audit Fit In? Richard Chambers President & CEO IIA, Global
11:30 - 11:45 AM	Networking Break
11:45-1:15 PM	Session 1: Impact of Economic Uncertainties on Governance, Risk and Compliance Global Economic Uncertainties, should we be worried, road ahead and Governance in these times, it is impossible? Ajit Ranade , Chief Economist, Aditya Birla Group Raajeev B Batra , Partner & Co-Head Governance Risk & Compliance Services KPMG
1:15-2:15 PM	Lunch Break and Exhibition Viewing
2:15-3:00 PM	Session 2: Focus on Business in Uncertain Times, How is internal audit connected? The issue of growth in such situations, the imperative of survival, the need for agility in companies which, in turn, requires changes in the nature and speed of information, decision making practices, standards, etc. Session Chair: Santanu Ghosh , Chairman Executive Board of K.S.Aiyar & Co. Nawshir Mirza , Professional Independent Director
3:00-3:15 PM	Networking Break
3:15-4:30 PM	Session 3: Unseen Risk In Business Dynamic framework, perceive unseen risk, strategic risk and navigating through the current risk environment Session Chair: Nagesh Pinge , Head Internal Audit Tata Motors Limited Neville Dumasia , National Head Risk Advisory E&Y Shaurav Sen , Managing Director, Corporate Executive Board
4:30-5:45 PM	Session 4: What is the value Add in Internal Audit-CXOs Perspective Internal audit does it really add value, does IA help in financial viability, do internal auditors understand business. (PD) Abdul Qader Obaid Ali , Chief of Internal Audit, Dubai World Rajani Kesari, CFO, Schneider Electric India

***to be confirmed**

CONFERENCE SCHEDULE

Day 2 Friday 10 February

8:30 AM	Registration Desk Opens
8:30- 9:30 AM	Networking Breakfast and Exhibition Viewing
9:30-10:30 AM	Keynote 2 Unleashing IT for good governance Eminent Speaker awaiting confirmation*
10:30-11:30 AM	Session 1:Enabling Global Footprint: How is the IA Role Emerging Especially in the light of Global Indian Investment Audit practices followed by large multinationals, are Indian companies at par, best practices for global internal audit departments Philip D Tarling , Sr. Vice Chairman, IIA Inc Vice President Internal Audit, Huawei Technologies Shamini Ramalingam , Group Director Internal Assurance, Bharti Airtel Limited
11:30 - 11:50 AM	Networking Break
11:50-1:00 PM	Session 2: Lurking Danger:Frauds Are We Ready Yet? Case Study: Session Chair: P.R.Loganathan , Managing Director, CISMA Consultants Rohit Mahajan , Partner & Co-Head Forensic Services KPMG
1:00-2:15 PM	Lunch Break
2:15-3:30 PM	Session 3: Doing More With Less With limited staff how do we work efficiently, can technology be used, is continuous auditing a fad? Session Chair:Subir Dutta , Vice President IIA, India Mritunjay Kapur , Country Managing Director, Protiviti Chetan Maheshwari , Director, Auditime Information Systems
3:30-3:45 PM	Networking Break
3:45-5:00 PM	Session 4: Can IA help the Govt. in Better Governance, How can Internal Auditing help the govt. in better governance of its various department, how is it currently done, what are the international best practices for IA in govt. orgn. (PD) Session Chair: Ravi Iyer, Secretary IIA, India S. M. Kumar Additional CGA J Wilson, Auditor General-Himachal Pradesh
5:00-5:45 PM	Session 5: Three Things that we don't Do- Three Things that we should Not Do will include discussions around international standards, quality, report writing besides practices which are generally not followed. (PD) Session Chair: NG Shankar , President-Corporate Audit, Aditya Birla Group Sanjay Mathur , VP and Head of Management Assurance Group, TATA Communications Limited Harish Dua , Executive Director-Internal Audit, Lanco Infratech Debmalya Maitra, Head - Audit, Risk & Compliance , AEGON Religare Life Insurance Co. Ltd.

***to be confirmed**

REGISTRATION INFO

For registrations you can contact the nearest chapter office as mentioned below.

Each delegate must complete a separate registration form attached below.

Alternatively you can complete and return the registration form along with full payment (via cheque/ dd/ wire transfer at details mentioned below)

Conference/CAE Forum	Amount (Members) per delegate	Amount (Non-Members) per delegate
One Delegate	Rs. 8500/-	Rs. 9500/-
More than 3 Delegates (from one organization)	Rs. 7500/-	Rs. 8500/-
More than 5 Delegates (from one organization)	Rs. 7000/-	Rs. 8000/-
Conference + CAE Forum – Round Table (Limited Seats)	Rs. 13000/	Rs. 15000/-
International Registrations (Conference)	USD 200	USD 300
International Registrations (including CAE Forum)	USD 300	USD 400

Note:

Please add 10.3% Service Tax to the total delegate fee.

For International Registrations in Group Please contact registration@iiaindia.org

For registration please contact: registration@iiaindia.org

Contacts

Location	Contacts	Cell No.	Email
Delhi	Karan Arora Administrator	+919968566788 +919711497730 +911146538628	iidelhichapter@iia Delhi.org
Mumbai	Sweta Achrekar Administrator	+912267103351	info@iia Bombay.org
Kolkata	Subir Dutta Vice President – IIA India	+ 919831082098	subir@dbco.in
Chennai	P K Ramanathan Administrator	+919400057699	pk_r_nathan@yahoo.com
Hyderabad	Subrata Baghi Treasurer - IIA India, Hyderabad Chapter	+914040312674	bsubrata@deloitte.com

Payment Instructions

Cheque / DD Payment

Cheque/ DD to be prepared in the name of "The Institute of Internal Auditors-India" payable at Mumbai.

Wire Transfer

The Payment can be remitted by wire transfer/ EFT/ direct deposit on below mentioned details

After the transfer, please mail the 'Transaction Reference number' to registration@iiaindia.org for record updation.

Beneficiary	The Institute of Internal Auditors India
Bank	ICICI Bank Limited
Bank Address	Sagar Avenue, SV Road, Andheri West, Mumbai 400058, India
Account Number	001101215538
Swift Code	ICICINBCTS
IFSC Code	ICIC0000011

REGISTRATION FORM

PERSONAL DETAILS – Please use block letters

Title Prof Dr Mr Ms

Name _____

Position _____

Organisation _____

Address for correspondence _____

City/State _____

Country _____ Postcode _____

Telephone () _____

Facsimile () _____

Mobile _____

Email _____

Name to be written in name badge _____

Please indicate your membership number in case you are a member

IIA Number _____

Registration Type

One Delegate

More than 3 Delegates (from one organization)

More than 5 Delegates (from one organization)

Conference + CAE Forum – Round Table

International Registrations (Conference)

International Registrations (including CAE Forum)



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Internal Auditors
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